



# Boundary Conditions for the Electric and Magnetic Fields

جهت حل معادلات ماکسول و معادلات مشتقات جزیی نیاز مبرم به شرایط مرزی می باشد



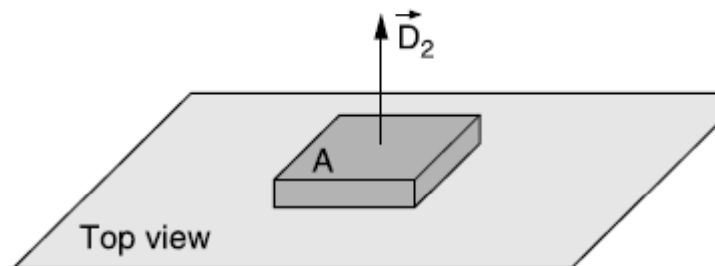
## Electric Field Perpendicular to an Interface

Case 1 No Free Surface Charge

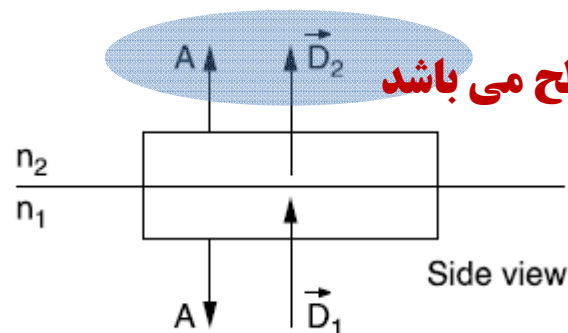
$$n_1 = \sqrt{\epsilon_1/\epsilon_0} \text{ and } n_2 = \sqrt{\epsilon_2/\epsilon_0}$$

$$\nabla \cdot \vec{\mathcal{D}} = 0$$

$$\int_V \nabla \cdot \vec{\mathcal{D}} dV = 0 \rightarrow \int_{A_T} \vec{\mathcal{D}} \cdot d\vec{a} = 0$$



$$0 = \int_{A_T} \vec{\mathcal{D}} \cdot d\vec{a} = \int_{A_{\text{top}}} \mathcal{D}_2 da - \int_{A_{\text{bottom}}} \mathcal{D}_1 da$$



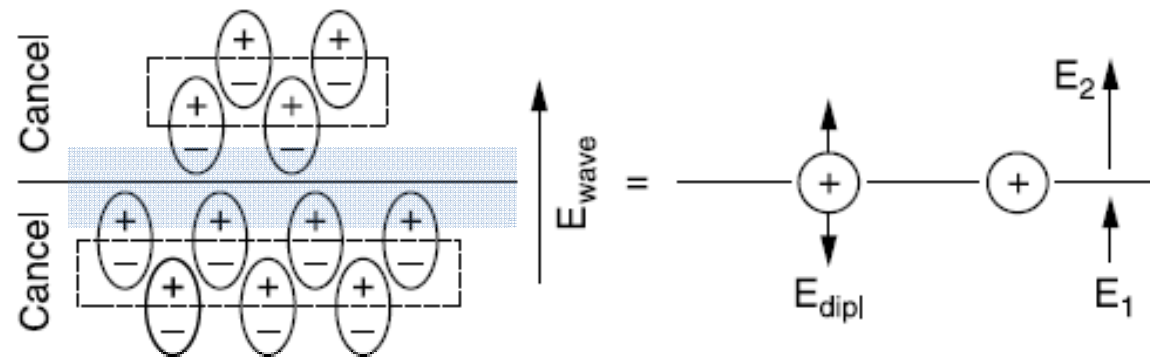
جابجایی عمود بر سطح می باشد

$$\vec{\mathcal{D}} = \epsilon \vec{\mathcal{E}} \quad \vec{\mathcal{D}}_2 = \vec{\mathcal{D}}_1$$

جابجایی باید در مرز پیوسته باشد اما  
میدان الکتریکی نمی تواند پیوسته باشد

$$\mathcal{E}_2 = \frac{\epsilon_1}{\epsilon_2} \mathcal{E}_1 = \left( \frac{n_1}{n_2} \right)^2 \mathcal{E}_1$$

tend not  
to cancel  
In the surface



$$(\mathcal{E}_{\text{wave}} + \mathcal{E}_{\text{dipole}})_{\text{bottom}} = \mathcal{E}_1 \quad \text{and} \quad (\mathcal{E}_{\text{wave}} + \mathcal{E}_{\text{dipole}})_{\text{top}} = \mathcal{E}_2$$

In the region where the dipole field points downward, the electric field decreases and where the dipole field points upward, the electric field increases. Therefore across the interface, there must be a discontinuity in the electric field



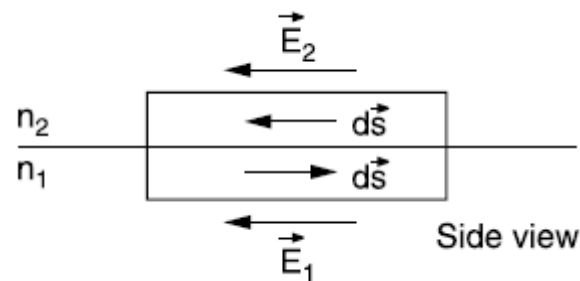
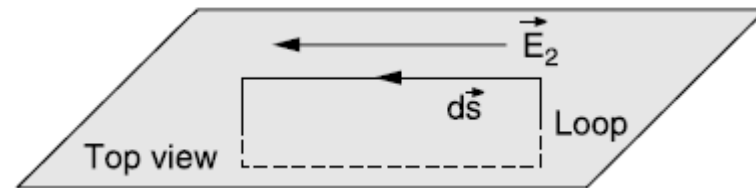
## Case 2 With Free Surface Charges

$$\nabla \cdot \vec{\mathcal{D}} = \rho = \sigma_{\text{free}} \delta(z) \rightarrow \int_V \nabla \cdot \vec{\mathcal{D}} dV = \int_{A_T} \sigma_{\text{free}} da \rightarrow \int_{A_T} \vec{\mathcal{D}} \cdot d\vec{a} = \int_{A_T} \sigma_{\text{free}} da$$

Dirac delta function

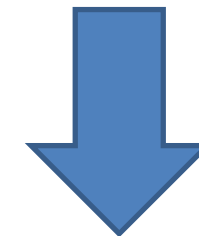
$$\vec{\mathcal{D}}_2 = \vec{\mathcal{D}}_1 + \sigma_{\text{free}} \rightarrow \epsilon_2 \vec{\mathcal{E}}_2 = \epsilon_1 \vec{\mathcal{E}}_1 + \sigma_{\text{free}}$$

# Electric Fields Parallel to the Surface



there aren't any  
free currents or  
changing  
magnetization

$$\nabla \times \vec{\mathcal{E}} = -\frac{\partial \vec{\mathcal{B}}}{\partial t}$$



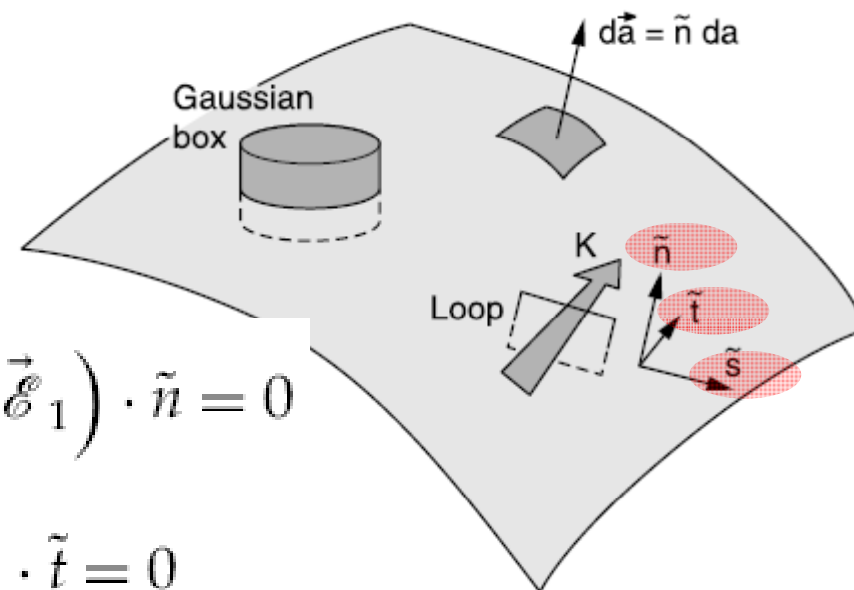
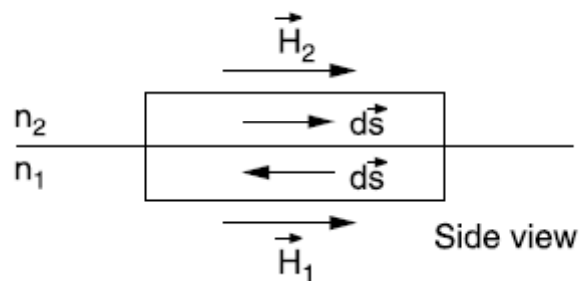
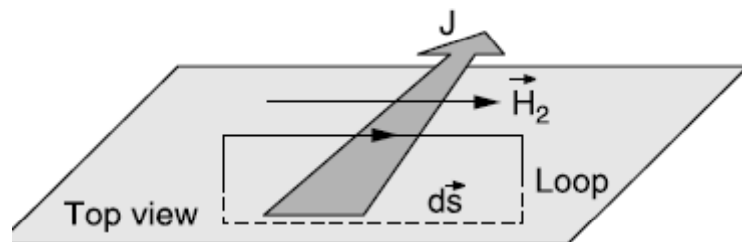
$$\nabla \times \vec{\mathcal{E}} = 0$$

$$\int_A \nabla \times \vec{\mathcal{E}} \cdot d\vec{a} = 0 \rightarrow \oint \vec{\mathcal{E}} \cdot d\vec{s} = 0$$



$$0 = \oint \vec{\mathcal{E}} \cdot d\vec{s} = \underbrace{\mathcal{E}_2 L}_{\text{Top}} + \underbrace{-\mathcal{E}_1 L}_{\text{Bottom}} \rightarrow \mathcal{E}_2 = \mathcal{E}_1$$

با دنبال کردن همین روش برای میدان مغناطیسی می توانیم میدانها را در شرایط مرزی بدست آوریم



$$(\vec{\mathcal{H}}_2 - \vec{\mathcal{H}}_1) \cdot \vec{s} = 0$$

$$(\vec{\mathcal{E}}_2 - \vec{\mathcal{E}}_1) \cdot \vec{s} = 0$$

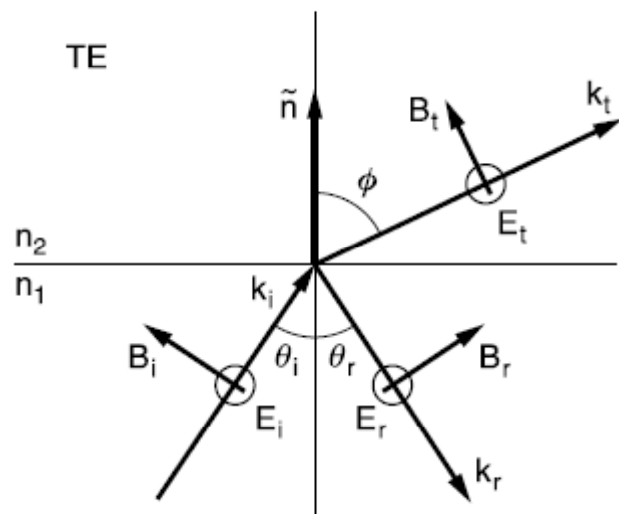
$$(\varepsilon_2 \vec{\mathcal{E}}_2 - \varepsilon_1 \vec{\mathcal{E}}_1) \cdot \vec{n} = 0$$

$$(\vec{\mathcal{E}}_2 - \vec{\mathcal{E}}_1) \cdot \vec{t} = 0$$

$$(\vec{\mathcal{H}}_2 - \vec{\mathcal{H}}_1) \cdot \vec{n} = 0$$

$$(\vec{\mathcal{H}}_2 - \vec{\mathcal{H}}_1) \cdot \vec{t} = 0$$

# Law of Reflection, Snell's Law and the Reflectivity



$$(\epsilon_2 \vec{\mathcal{E}}_2 - \epsilon_1 \vec{\mathcal{E}}_1) \cdot \tilde{n} = 0$$

$$(\vec{\mathcal{E}}_2 - \vec{\mathcal{E}}_1) \cdot \tilde{t} = 0$$

$$(\vec{\mathcal{H}}_2 - \vec{\mathcal{H}}_1) \cdot \tilde{n} = 0$$

$$(\vec{\mathcal{H}}_2 - \vec{\mathcal{H}}_1) \cdot \tilde{t} = 0$$

$$\begin{array}{l} \vec{\mathcal{E}}_1 = \vec{\mathcal{E}}_i + \vec{\mathcal{E}}_r \quad \vec{\mathcal{E}}_2 = \vec{\mathcal{E}}_t \quad \vec{\mathcal{H}}_1 = \vec{\mathcal{H}}_i + \vec{\mathcal{H}}_r \quad \vec{\mathcal{H}}_2 = \vec{\mathcal{H}}_r \\ \left[ \epsilon_2 \vec{\mathcal{E}}_t - \epsilon_1 (\vec{\mathcal{E}}_i + \vec{\mathcal{E}}_r) \right] \cdot \tilde{n} = 0 \\ \left[ \vec{\mathcal{E}}_t - (\vec{\mathcal{E}}_i + \vec{\mathcal{E}}_r) \right] \times \tilde{n} = 0 \end{array}$$



**relation** between the magnitude of the **magnetic** and **electric** fields in a **dielectric**

$$\mathcal{H} = \frac{\mathcal{E}}{\mu_0 v_g}$$

Adding the direction

$$(\vec{k}_t \times \vec{\mathcal{E}}_t - \vec{k}_i \times \vec{\mathcal{E}}_i - \vec{k}_r \times \vec{\mathcal{E}}_r) \cdot \tilde{n} = 0$$

$$(\vec{k}_t \times \vec{\mathcal{E}}_t - \vec{k}_i \times \vec{\mathcal{E}}_i - \vec{k}_r \times \vec{\mathcal{E}}_r) \times \tilde{n} = 0$$

$$\vec{\mathcal{H}} = \tilde{k} \times \frac{\vec{\mathcal{E}}}{\mu_0 v_g} = \frac{\vec{k}_n}{k_n} \times \frac{\vec{\mathcal{E}}}{\mu_0 v_g} = \frac{\vec{k}_n}{k_o n} \times \frac{\vec{\mathcal{E}}}{\mu_0 v_g} = \frac{\vec{k}_n \times \vec{\mathcal{E}}}{k_o \mu_o c}$$

$$\vec{\mathcal{H}} = \frac{\vec{k} \times \vec{\mathcal{E}}}{k_o \mu_o c}$$



Let's group the boundary conditions together to write

$$\left[ \varepsilon_2 \vec{\mathcal{E}}_t - \varepsilon_1 (\vec{\mathcal{E}}_i + \vec{\mathcal{E}}_r) \right] \cdot \tilde{n} = 0$$

$$\left[ \vec{\mathcal{E}}_t - (\vec{\mathcal{E}}_i + \vec{\mathcal{E}}_r) \right] \times \tilde{n} = 0$$

$$\left( \vec{k}_t \times \vec{\mathcal{E}}_t - \vec{k}_i \times \vec{\mathcal{E}}_i - \vec{k}_r \times \vec{\mathcal{E}}_r \right) \cdot \tilde{n} = 0$$

$$\left( \vec{k}_t \times \vec{\mathcal{E}}_t - \vec{k}_i \times \vec{\mathcal{E}}_i - \vec{k}_r \times \vec{\mathcal{E}}_r \right) \times \tilde{n} = 0$$



## The Law of Reflection

we show that the angle of incidence equals the angle of reflection

$$\vec{\mathcal{E}} = \vec{\mathcal{E}}_o e^{i\vec{k}\cdot\vec{r}-i\omega t}$$

$$\left[ \varepsilon_2 \vec{\mathcal{E}}_t - \varepsilon_1 (\vec{\mathcal{E}}_i + \vec{\mathcal{E}}_r) \right] \cdot \tilde{n} = 0 \quad \rightarrow$$

$$\varepsilon_2 \vec{\mathcal{E}}_{ot} \cdot \tilde{n} e^{i\vec{k}_t \cdot \vec{r} - i\omega t} = \varepsilon_1 \vec{\mathcal{E}}_{oi} \cdot \tilde{n} e^{i\vec{k}_i \cdot \vec{r} - i\omega t} + \varepsilon_1 \vec{\mathcal{E}}_{or} \cdot \tilde{n} e^{i\vec{k}_r \cdot \vec{r} - i\omega t}$$

**Finally the results are:**

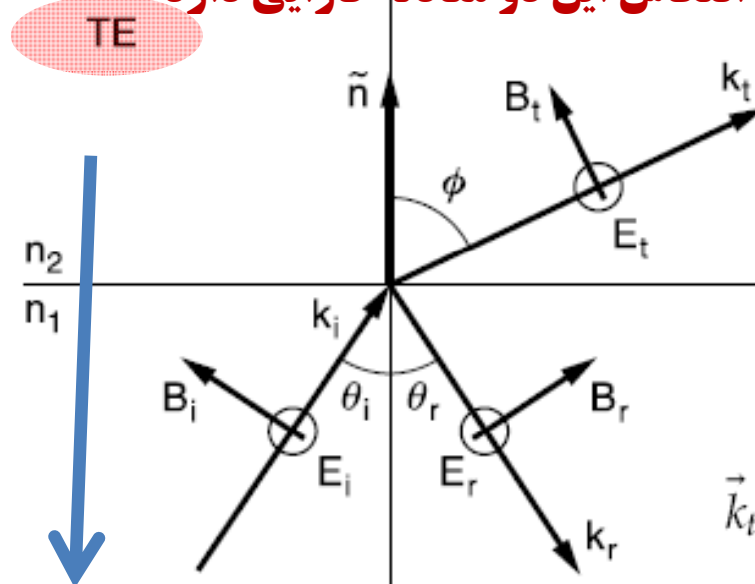
$$\theta_i = \theta_r \quad (\text{Law of Reflection})$$

$$n_2 \sin \phi = n_1 \sin \theta_i \quad (\text{Snell's law})$$



از ۴ معادله شرایط مرزی ۲ معادله کارایی ندارد

برای انعکاس این دو معادله کارایی دارد



The electric field is everywhere perpendicular to the wave vector

$$\begin{aligned} & [\vec{\mathcal{E}}_t - (\vec{\mathcal{E}}_i + \vec{\mathcal{E}}_r)] \times \tilde{n} = 0 \\ \text{بدلیل عمود بودن} & \rightarrow \vec{\mathcal{E}}_t - (\vec{\mathcal{E}}_i + \vec{\mathcal{E}}_r) = 0 \\ & (\vec{k}_t \times \vec{\mathcal{E}}_t - \vec{k}_i \times \vec{\mathcal{E}}_i - \vec{k}_r \times \vec{\mathcal{E}}_r) \times \tilde{n} = 0 \end{aligned} \quad \text{Eq.1}$$

BAC-CAB

$$\vec{k}_t \times \vec{\mathcal{E}}_t \times \tilde{n} = \vec{\mathcal{E}}_t (\vec{k}_t \cdot \tilde{n}) - \tilde{n} (\vec{k}_t \cdot \vec{\mathcal{E}}_t) = \vec{\mathcal{E}}_t (\vec{k}_t \cdot \tilde{n})$$

$$\vec{k}_t \times \vec{\mathcal{E}}_t \times \tilde{n} = \vec{\mathcal{E}}_t (\vec{k}_t \cdot \tilde{n}) = \vec{\mathcal{E}}_t k_t \cos \phi = \vec{\mathcal{E}}_t k_0 n_2 \cos \phi$$

$$\vec{\mathcal{E}}_t k_0 n_2 \cos \phi - \vec{\mathcal{E}}_i k_0 n_1 \cos \theta + \vec{\mathcal{E}}_r k_0 n_1 \cos \theta = 0$$

Eq.2



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مرودشت

Eq.1

Eq.2

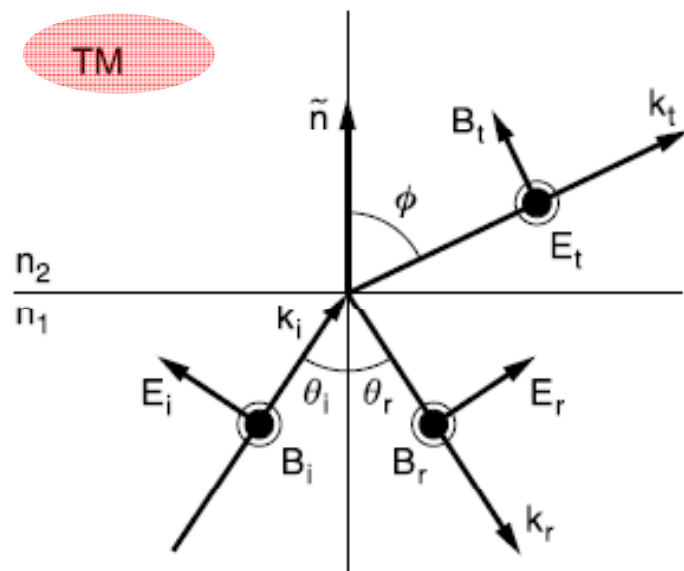


$$r_{TE} = \frac{\mathcal{E}_r}{\mathcal{E}_i} = \frac{n_1 \cos \theta - n_2 \cos \phi}{n_1 \cos \theta + n_2 \cos \phi}$$

$n_2 \sin \phi = n_1 \sin \theta_i$  (Snell's law)



$$t_{TE} = \frac{\mathcal{E}_t}{\mathcal{E}_i} = \frac{2n_1 \cos \theta}{n_1 \cos \theta + n_2 \cos \phi}$$



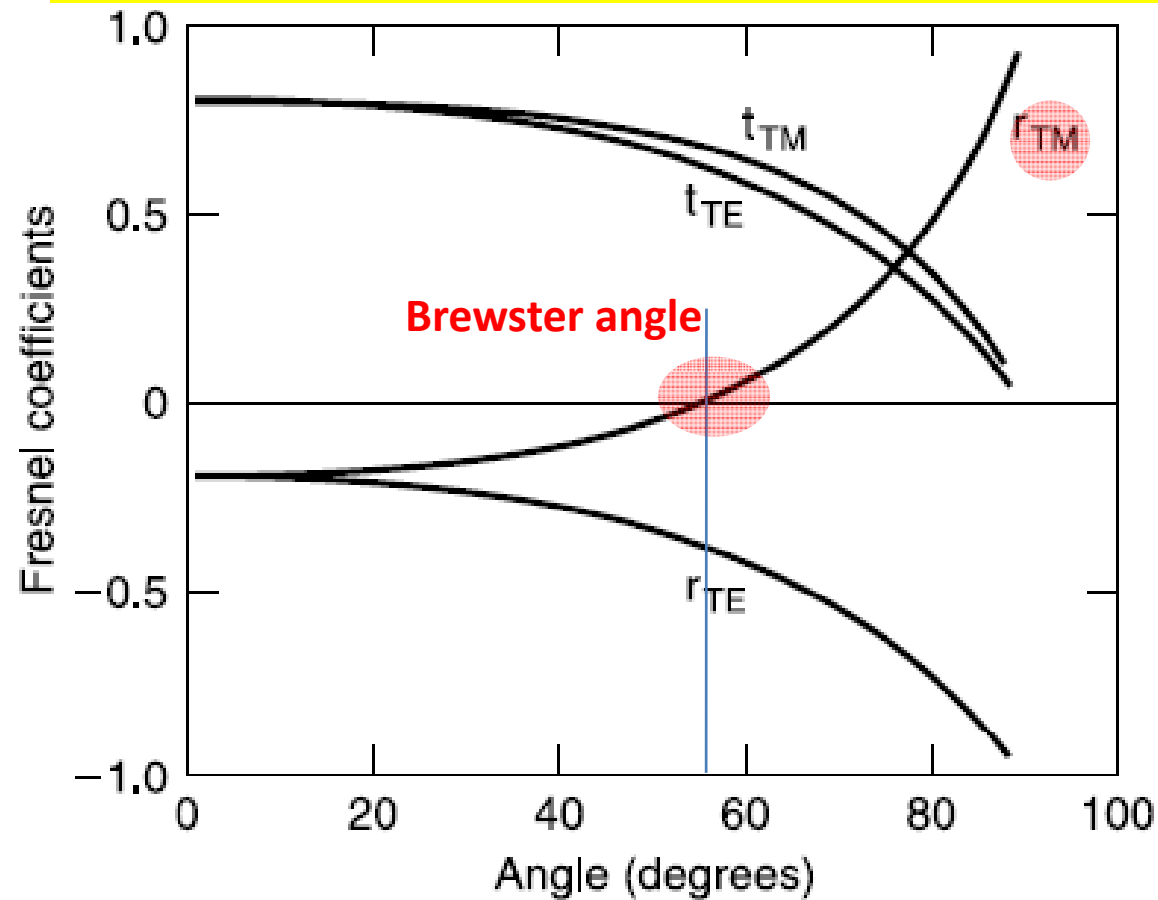
**And for The TM Fields**

$$r_{TM} = \frac{E_r}{E_i} = \frac{n_1 \cos \phi - n_2 \cos \theta}{n_2 \cos \theta + n_1 \cos \phi}$$

$$t_{TM} = \frac{E_t}{E_i} = \frac{n_1}{n_2} (1 + r_{TM})$$



**The reflectivity and transmittivity versus the angle of incidence for  $n_1=1$  and  $n_2=1.5$**





# The Poynting Vector

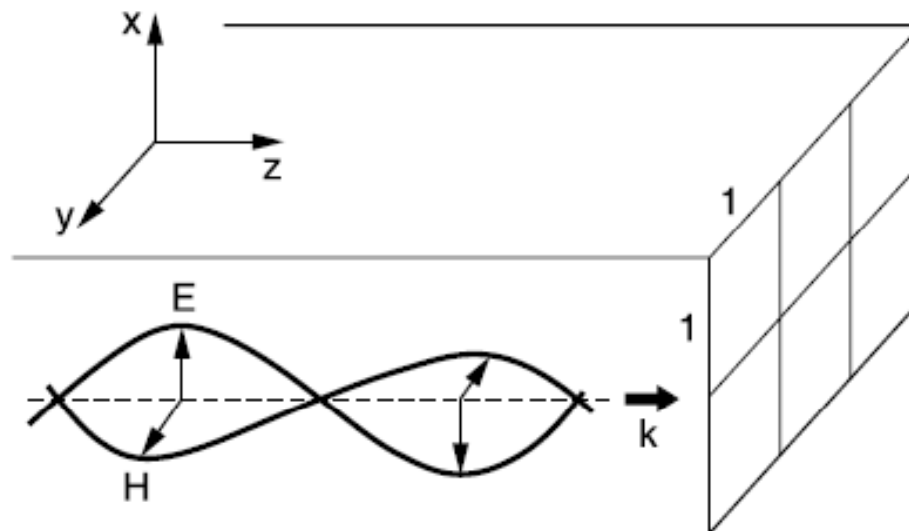
The Poynting vector describes the energy flow from the material

Introduction to Power Transport for **Real Fields** and **Complex Fields**

**Now Real Fields**

$$\vec{S} = \vec{E} \times \vec{H}$$

انرژی جاری یافته شامل میدان و پلاریزاسیون است



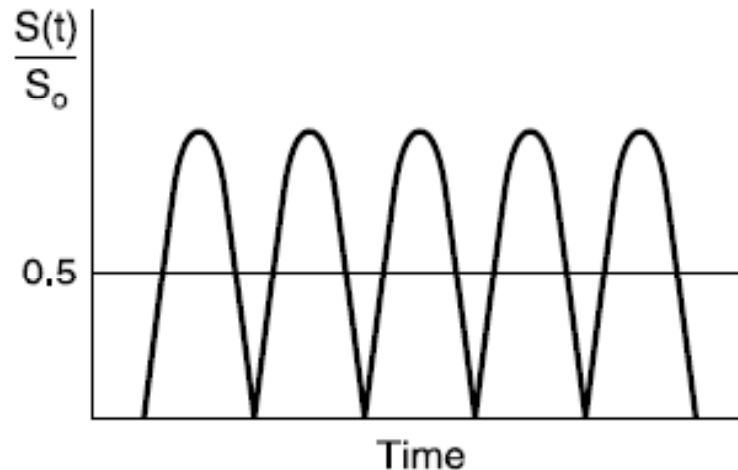
بردار پوینگتین توان جاری  
شده در هر قسمت را بر  
حسب زمان به ما می دهد

$$\vec{\mathcal{E}}(z, t) = E_o \sin(k_o z - \omega t) \hat{x}$$

$$\vec{\mathcal{H}}(z, t) = H_o \sin(k_o z - \omega t) \hat{y}$$



$$\vec{\mathcal{S}} = \vec{\mathcal{E}} \times \vec{\mathcal{H}} = \hat{z} E_o H_o \sin^2(k_o z - \omega t)$$



محاسبات ساده نشان می دهد که توان به صورت زیر در زمان حرکت می کند که مرتبه تغییر آن توانی از ۱۶ می باشد

The **instantaneous** power  $P(z, t)$  (**Watts through the surface**) depends on both the position  $z$  and time  $t$

$$P(z, t) = \vec{S} \cdot \vec{A} = \vec{S} \cdot A\vec{z}$$



$$P(z_o, t) = \vec{S} \cdot A\vec{z} = AE_oH_o \sin^2(k_o z_o - \omega t)$$

**average power (averaged over a cycle)**

$$\left\langle \vec{P}(z, t) \right\rangle_t = \frac{1}{T} \int_0^T dt \vec{P}(z, t) \quad \xrightarrow{T = 2\pi/\omega} \quad \left\langle P(z, t) \right\rangle_t = \frac{1}{2} AE_oH_o$$

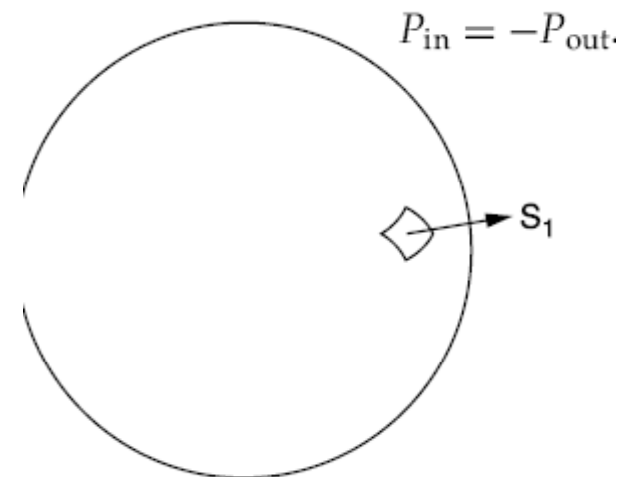
The Poynting vector can still depend on time, the amplitudes  $E_o$  and  $H_o$  depend on time with frequency less than 100GHz



Power flows through a patch of area  $da$ .

The **total power** leaving the **surface** must be the **sum** of the power through each patch

$$P = \sum_{\text{surface}} \vec{S}(\vec{r}, t) \cdot d\vec{a}_{\vec{r}} = \int_{\text{surface}} \vec{S}(\vec{r}, t) \cdot d\vec{a}$$



Example 3.5.1  $\vec{S} = \tilde{r}/r^2$

$da = r^2 \sin \theta d\theta d\varphi$

$$P = \int \vec{S} \cdot d\vec{a} = \int \int \frac{\tilde{r}}{R_o^2} \cdot \tilde{r} R_o^2 \sin \theta d\theta d\varphi = \int_{\varphi=0}^{2\pi} \int_{\theta=0}^{\pi} \sin \theta d\theta d\varphi = 4\pi$$

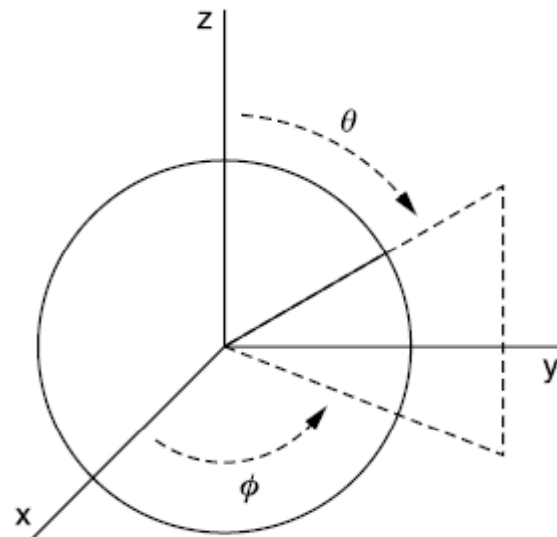
# Power Transport and Energy Storage Mechanisms



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مرودشت

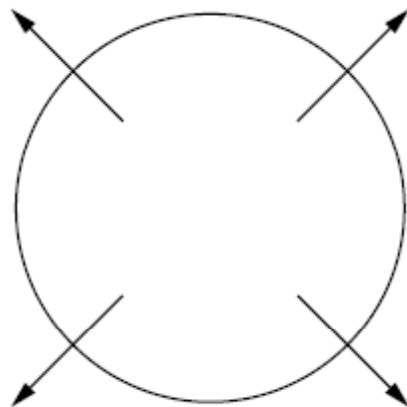
هدف: محاسبه چگونگی خارج شدن توان از یک حجم (البته در اصل باید یک توان وارد و سپس یک توان خارج شود).

روشهای متعددی برای ذخیره شدن انرژی وجود دارد:



**First** the electric and magnetic fields store energy  
**Second**, electric and magnetic dipoles store energy  
**Third**, the interaction between currents and fields can generate energy

We need to calculate the Poynting vector by using Maxwell's equations



$$\int_{\text{volume}} \nabla \cdot \vec{S} dV = \int_{\text{surface}} \vec{S} \cdot d\vec{a} = P_{\text{out}}$$

divergence

$$\nabla \cdot \vec{S} = \nabla \cdot (\vec{E} \times \vec{H}) = \vec{H} \cdot \nabla \times \vec{E} - \vec{E} \cdot \nabla \times \vec{H}$$



دانشگاه آزاد  
مرودشت

$$\left\{ \begin{array}{l} \nabla \times \vec{\mathcal{H}} = \vec{\mathcal{J}} + \frac{\partial \vec{\mathcal{D}}}{\partial t} \\ \nabla \times \vec{\mathcal{E}} = -\frac{\partial \vec{\mathcal{B}}}{\partial t} \end{array} \right\} \quad \text{with} \quad \left\{ \begin{array}{l} \vec{\mathcal{D}} = \epsilon_0 \vec{\mathcal{E}} + \vec{\mathcal{P}} \\ \vec{\mathcal{B}} = \mu_0 \vec{\mathcal{H}} + \mu_0 \vec{\mathcal{M}} \end{array} \right\}$$

از قبل  
داریم

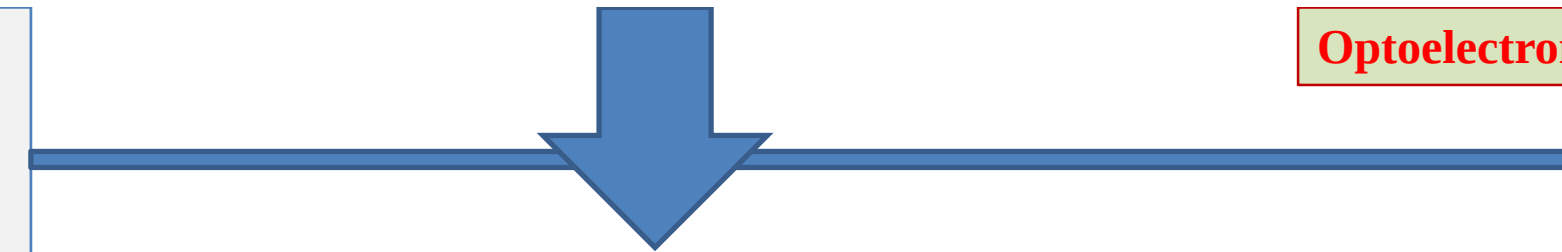
$$\nabla \cdot \vec{S} = \vec{\mathcal{H}} \cdot (\nabla \times \vec{\mathcal{E}}) - \vec{\mathcal{E}} \cdot \nabla \times \vec{\mathcal{H}} = \vec{\mathcal{H}} \cdot \left( -\frac{\partial \vec{\mathcal{B}}}{\partial t} \right) - \vec{\mathcal{E}} \cdot \left( \vec{\mathcal{J}} + \frac{\partial \vec{\mathcal{D}}}{\partial t} \right)$$



$$\nabla \cdot \vec{S} = -\vec{\mathcal{H}} \cdot \frac{\partial}{\partial t} (\mu_0 \vec{\mathcal{H}} + \mu_0 \vec{\mathcal{M}}) - \vec{\mathcal{E}} \cdot \vec{\mathcal{J}} - \vec{\mathcal{E}} \cdot \frac{\partial}{\partial t} (\epsilon_0 \vec{\mathcal{E}} + \vec{\mathcal{P}})$$

Multiply by  $-1$  and observe that rewriting the derivative as

$$\vec{\mathcal{H}} \cdot \frac{\partial \vec{\mathcal{H}}}{\partial t} = \frac{\partial}{\partial t} \frac{\vec{\mathcal{H}} \cdot \vec{\mathcal{H}}}{2} \quad \text{and} \quad \vec{\mathcal{E}} \cdot \frac{\partial \vec{\mathcal{E}}}{\partial t} = \frac{\partial}{\partial t} \frac{\vec{\mathcal{E}} \cdot \vec{\mathcal{E}}}{2}$$



$$-\nabla \cdot \vec{S} = \frac{\partial}{\partial t} \left( \frac{\mu_0}{2} \mathcal{H}^2 + \frac{\varepsilon_0}{2} \mathcal{E}^2 \right) + \vec{\mathcal{E}} \cdot \vec{\mathcal{J}} + \mu_0 \vec{\mathcal{H}} \cdot \frac{\partial \vec{\mathcal{M}}}{\partial t} + \vec{\mathcal{E}} \cdot \frac{\partial \vec{\mathcal{P}}}{\partial t}$$

$$P_{\text{out}} = \int \nabla \cdot \vec{S} dV$$

$$P_{\text{in}} = - \int \nabla \cdot \vec{S} dV$$

Substituting for the divergence of the Poynting vector gives

$$P_{\text{in}} = \underbrace{\int \vec{\mathcal{E}} \cdot \vec{\mathcal{J}} dV}_{\text{damping}} + \underbrace{\frac{\partial}{\partial t} \int dV \left( \frac{\mu_0}{2} \mathcal{H}^2 + \frac{\varepsilon_0}{2} \mathcal{E}^2 \right)}_{\text{field energy}} + \underbrace{\int dV \vec{\mathcal{E}} \cdot \frac{\partial \vec{\mathcal{P}}}{\partial t}}_{\text{polarization energy}} + \underbrace{\int dV \mu_0 \vec{\mathcal{H}} \cdot \frac{\partial \vec{\mathcal{M}}}{\partial t}}_{\text{magnetization energy}}$$



## Poynting Vector for Complex Fields

$$\vec{S} = \frac{1}{2} \vec{\mathcal{E}} \times \vec{\mathcal{H}}^*$$

plane wave  $\vec{\mathcal{E}} \sim e^{ikz - i\omega t} \longrightarrow \vec{\mathcal{E}} \vec{\mathcal{E}}^* = |\vec{\mathcal{E}}|^2$ .

Example 3.5.2:

For the plane waves given in Section 3.5.1, specifically

$$\vec{\mathcal{E}}(z, t) = E_o \sin(k_o z - \omega t) \tilde{x} \quad \vec{\mathcal{H}}(z, t) = H_o \sin(k_o z - \omega t) \tilde{y}$$

$\vec{S}$  ?

complex notation

$$\vec{\mathcal{E}} = E_o e^{ikz - i\omega t} e^{i\varphi} \tilde{x} \quad \text{and} \quad \vec{\mathcal{H}} = H_o e^{ikz - i\omega t} e^{i\varphi} \tilde{y}$$

$$\vec{S} = \frac{1}{2} \vec{\mathcal{E}} \times \vec{\mathcal{H}}^* = \frac{1}{2} \tilde{z} E_o e^{ikz - i\omega t} e^{i\varphi} H_o^* e^{-ikz + i\omega t} e^{-i\varphi} = \tilde{z} \frac{1}{2} E_o H_o^*$$

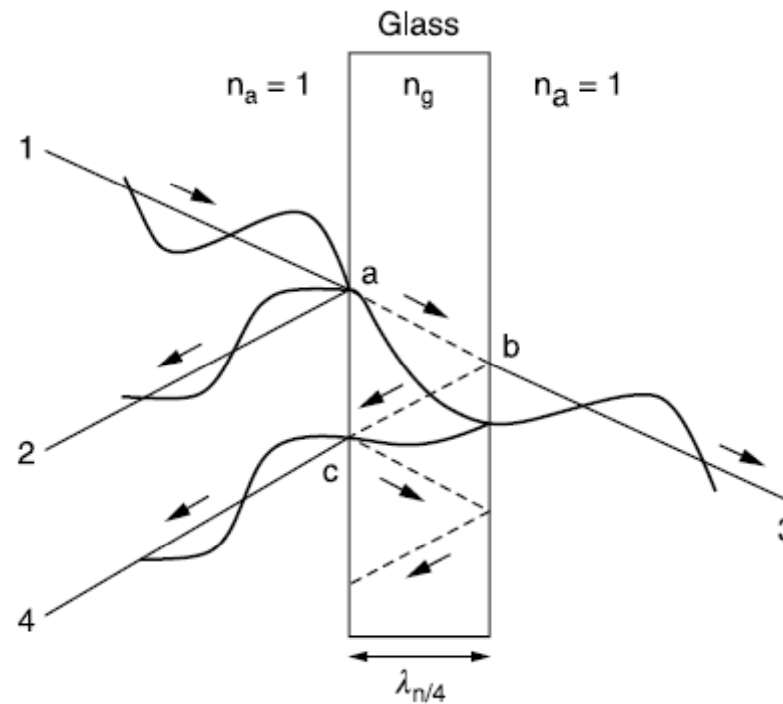
$$\langle \vec{S} \rangle = \frac{1}{T} \int_0^T dt \vec{\mathcal{E}} \times \vec{\mathcal{H}} = \frac{1}{T} \int_0^T dt \tilde{z} E_o \sin(kz - \omega t) H_o \sin(kz - \omega t) = \frac{1}{2} \tilde{z} E_o H_o$$



### 3.6 Electromagnetic Scattering and Transfer Matrix Theory

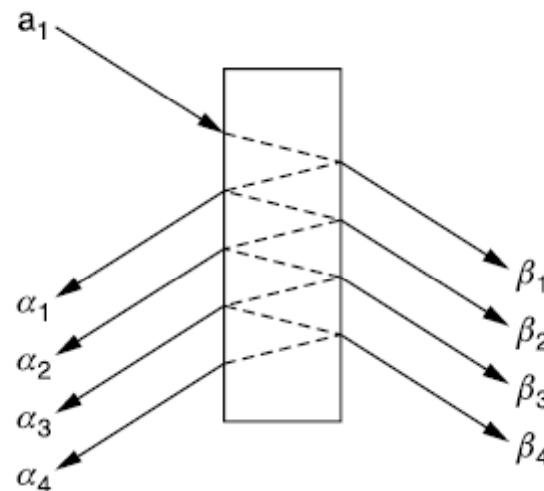
Where we have the two parallel mirrors like Fp and Vcele is the suitable for use the TMM

#### Introduction to Scattering Theory

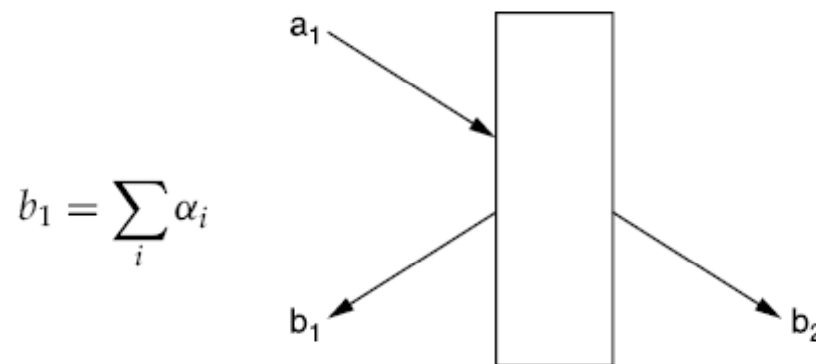




The **phase** can be affected by the **thickness** of the **plate**, the type of **material**, and the **reflection and transmission coefficients** at an interface.



where  $S_{ij}$  symbolizes the scattering matrix and NOT the Poynting vector. **The scattering matrix describes the particular optical element.** Most importantly, the output is linearly related to the input.



$$b_1 = \sum_i \alpha_i$$

$$b_2 = \sum_i \beta_i$$

$$b_1 = S_{11}a_1$$

$$b_2 = S_{21}a_1$$

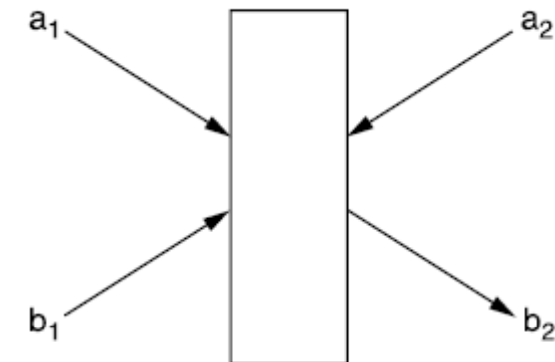
FIGURE 3.6.2

The reflect  $\alpha_i$  and transmitted  $\beta_i$  amplitudes add together to produce  $b_1$  and  $b_2$ , respectively.

$$b_1 = S_{11}a_1 + S_{12}a_2$$

$$b_2 = S_{21}a_1 + S_{22}a_2$$

$$\begin{pmatrix} b_1 \\ b_2 \end{pmatrix} = \underline{S} \begin{pmatrix} a_1 \\ a_2 \end{pmatrix}$$



## The Power-Amplitudes

$$\begin{cases} \vec{\mathcal{E}} = \tilde{x} E_o u(x, y) \exp(ik_n z - i\omega t) \\ \vec{\mathcal{H}} = \tilde{y} H_o u(x, y) \exp(ik_n z - i\omega t) \end{cases}$$

The function  $u(x, y)$  can be normalized such that

$$\int dx dy |u(x, y)|^2 = 1$$



Often we simply take “u=constant” for convenience.

The **phasor representations** for the electric and magnetic fields become

$$E(z) = E_o u \exp(ik_n z)$$

$$H(z) = H_o u \exp(ik_n z)$$

If the “power amplitudes” =a(z) then the total power will be:  $P = aa^*$

To find the amplitude “a,”

$$\vec{S} = \frac{1}{2} \left( \vec{\mathcal{E}} \times \vec{\mathcal{H}}^* \right) \quad \begin{array}{c} H = \frac{E}{\mu_o v} \text{ and } v = c/n \\ \text{--->} \end{array} \quad \begin{array}{c} \text{--->} \\ \text{--->} \end{array} \quad \begin{array}{c} \varepsilon = n^2 \varepsilon_o \\ \text{--->} \end{array}$$

$$\vec{S} = \frac{1}{2} \tilde{z} E H^* |u|^2 = \frac{1}{2} \tilde{z} E \frac{E^*}{\mu_o v} |u|^2 = \frac{|E|^2 |u|^2}{2 \mu_o v} \tilde{z} = \frac{\varepsilon v}{2} |E|^2 |u|^2 \tilde{z}$$

$$P = a(z)a^*(z) = \int dx dy \tilde{z} \cdot \vec{S} = \frac{\epsilon v}{2} |E|^2 \int dx dy |u|^2 = \frac{\epsilon v}{2} |E|^2$$

$$v_g = c \frac{1}{n} = \frac{1}{\sqrt{\epsilon_o \mu_o}} \sqrt{\frac{\epsilon_o}{\epsilon}} = \frac{1}{\sqrt{\epsilon \mu_o}}$$

$$P = a(z)a^*(z) = \frac{\epsilon v}{2} |E|^2 = \frac{\sqrt{\epsilon}}{2\sqrt{\mu_o}} |E|^2 = \frac{1}{2} \sqrt{\frac{\epsilon_o}{\mu_o}} \sqrt{\frac{\epsilon}{\epsilon_o}} |E|^2 = \frac{\epsilon_o c}{2} n E(z) E^*(z)$$

$$a(z) = \sqrt{\frac{\epsilon_o c}{2}} n E_o e^{ik_n z}$$

to account for changes in the phase of the wave due to propagation

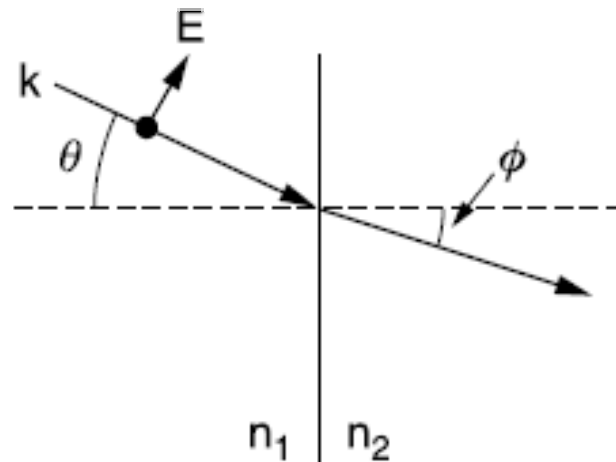
does not contribute when the power is calculated  $P = a a^*$



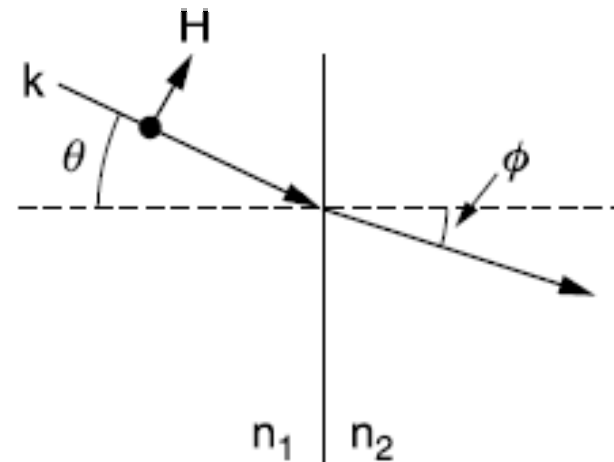
$$a(z) = \sqrt{\frac{\epsilon_0 c}{2}} n E_0 e^{ik_n z}$$

transverse magnetic (**TM**) wave has the magnetic field parallel to the interface (transverse to the plane of incidence)

while a transverse electric (**TE**) wave has the electric field parallel to the interface (transverse to the plane of incidence).



Transverse magnetic



Transverse electric



The reflection coefficients can be written as follows

$$r = \frac{n_1 \cos \theta - n_2 \cos \phi}{n_1 \cos \theta + n_2 \cos \phi} \quad \text{TE}$$

$$r = \frac{-n_2 \cos \theta + n_1 \cos \phi}{n_2 \cos \theta + n_1 \cos \phi} \quad \text{TM}$$

$$\theta = 0 \text{ and } \phi = 0 \quad \longrightarrow \quad r = \frac{n_1 - n_2}{n_1 + n_2}$$

$$t = \frac{2 \cos \theta \sin \phi}{\sin(\theta + \phi)} \quad \text{TE}$$

$$t = \frac{2 \cos \theta \sin \phi}{\sin(\theta + \phi) \cos(\theta - \phi)} \quad \text{TM}$$

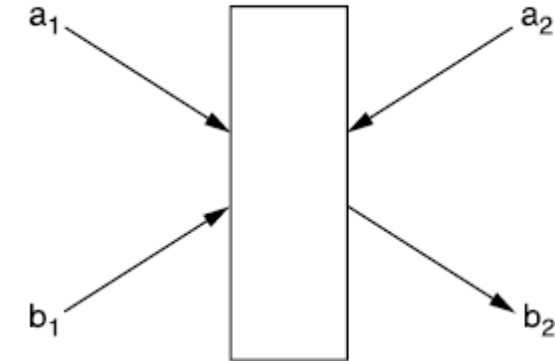
$$\sin(\theta + \phi) = \sin \theta \cos \phi + \sin \phi \cos \theta$$

$$(\theta = 0 = \phi) \quad \longrightarrow \quad t_{1 \rightarrow 2} = \frac{2n_1}{n_1 + n_2} \quad (\text{Normal Incidence})$$

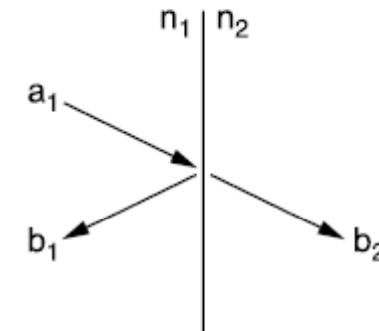


Question: How do the Fresnel coefficients relate to reflection and transmission coefficients?

$$r_{pa} = \frac{b_1}{a_1} = \frac{\sqrt{n_1} E_{b1} e^{-ik_n z}}{\sqrt{n_1} E_{a1} e^{ik_n z}} \Big|_{z=0} = \frac{E_{b1}}{E_{a1}} = r$$



$$\tau_{1 \rightarrow 2} = \frac{b_2}{a_1} = \frac{\sqrt{n_2} E_{b2} e^{ik_{n2} z}}{\sqrt{n_1} E_{a1} e^{ik_{n1} z}} \Big|_{z=0} = \sqrt{\frac{n_2}{n_1}} \frac{E_{b2}}{E_{a1}} = \sqrt{\frac{n_2}{n_1}} t_{1 \rightarrow 2}$$



$$R = \frac{P_{\text{refl}}}{P_{\text{inc}}} = \frac{b_1 b_1^*}{a_1 a_1^*} = \left( \frac{b_1}{a_1} \right) \left( \frac{b_1}{a_1} \right)^* = r r^* = |r|^2$$

$$T = \frac{P_{\text{trans}}}{P_{\text{inc}}} = \frac{b_2 b_2^*}{a_1 a_1^*} = \left( \frac{b_2}{a_1} \right) \left( \frac{b_2}{a_1} \right)^* = \tau_{1 \rightarrow 2} \tau_{1 \rightarrow 2}^* = |\tau_{1 \rightarrow 2}|^2$$

**Finally,** we can write energy conservation

$$P_{\text{inc}} = P_{\text{refl}} + P_{\text{trans}}$$



$$P_{\text{inc}} = R P_{\text{inc}} + T P_{\text{inc}}$$

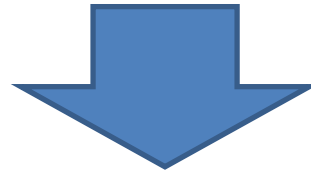


$$R + T = 1 \quad \Rightarrow \quad R = |r|^2 \quad T = \frac{n_2}{n_1} |t_{1 \rightarrow 2}|^2 = |t|^2$$



For normal incidence, the TE and TM power-amplitude

$$r = -\frac{n_2 - n_1}{n_2 + n_1} \quad \tau_{1 \rightarrow 2} = \sqrt{\frac{n_2}{n_1}} t_{1 \rightarrow 2} = \frac{2\sqrt{n_1 n_2}}{n_1 + n_2}$$



$$1 = R + T = |r|^2 + |\tau|^2$$

&

$$\tau^2 = t_{1 \rightarrow 2} t_{2 \rightarrow 1}$$

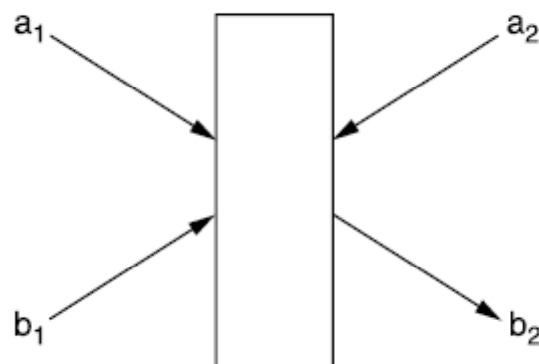
# Scattering Matrices

**“Operators”** represent the operations that the optical element performs on optical beams

$$\begin{pmatrix} b_1 \\ b_2 \end{pmatrix} = \underline{S} \begin{pmatrix} a_1 \\ a_2 \end{pmatrix} = \begin{pmatrix} S_{11} & S_{12} \\ S_{21} & S_{22} \end{pmatrix} \begin{pmatrix} a_1 \\ a_2 \end{pmatrix} = \begin{pmatrix} S_{11}a_1 + S_{12}a_2 \\ S_{21}a_1 + S_{22}a_2 \end{pmatrix}$$



$$\begin{pmatrix} b_1 \\ b_2 \end{pmatrix} = \begin{pmatrix} S_{11}a_1 + S_{12}a_2 \\ S_{21}a_1 + S_{22}a_2 \end{pmatrix}$$



The simplest optical element is the interface

$$\begin{pmatrix} b_1 \\ b_2 \end{pmatrix} = \underline{S} \begin{pmatrix} a_1 \\ 0 \end{pmatrix} = \begin{pmatrix} S_{11}a_1 \\ S_{21}a_1 \end{pmatrix}$$

$$S_{11} = b_1/a_1 \quad \longrightarrow \quad S_{11} = -r \quad 0 \leq |r| \leq 1$$

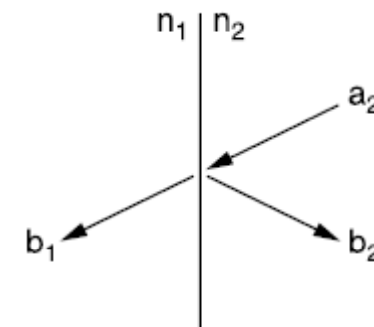
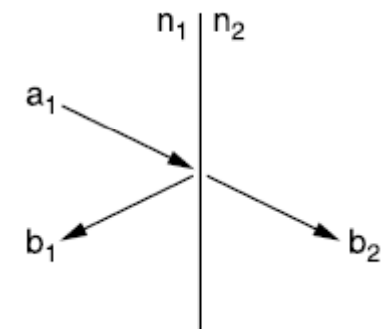
$$S_{21} = b_2/a_1 \quad \longrightarrow \quad S_{21} = \tau_{1 \rightarrow 2}$$

phase change of approximately 180 for a wave reflecting from a medium with larger refractive index

For example, suppose  $a_1 = 0$  but that  $a_2 \neq 0$ . The **scattering matrix** becomes:

$$\begin{pmatrix} b_1 \\ b_2 \end{pmatrix} = \begin{pmatrix} S_{12}a_2 \\ S_{22}a_2 \end{pmatrix}$$

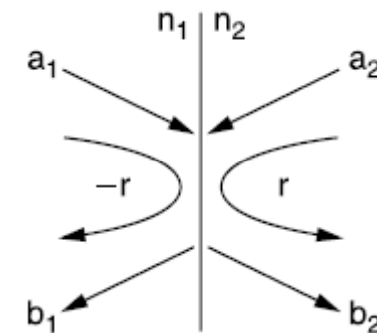
with  $S_{21} = \tau$  and  $S_{22} = +r$ .





## superposition

$$\begin{pmatrix} b_1 \\ b_2 \end{pmatrix} = \underline{S} \begin{pmatrix} a_1 \\ a_2 \end{pmatrix} = \begin{pmatrix} S_{11} & S_{12} \\ S_{21} & S_{22} \end{pmatrix} \begin{pmatrix} a_1 \\ a_2 \end{pmatrix} = \begin{pmatrix} -r & \tau_{2 \rightarrow 1} \\ \tau_{1 \rightarrow 2} & r \end{pmatrix} \begin{pmatrix} a_1 \\ a_2 \end{pmatrix}$$



Note the convention of “-r” and “r” in the figure makes  $r > 0$  for  $n_1 < n_2$ .

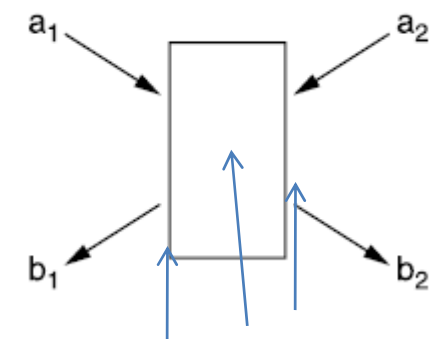
If in reality  $n_1 > n_2$ , then the sign of “r” will be reversed; either way, the equations work out **ok**.

## finite thickness with two interfaces

$$\begin{pmatrix} b_1 \\ b_2 \end{pmatrix} = \underline{S} \begin{pmatrix} a_1 \\ a_2 \end{pmatrix} = \begin{pmatrix} S_{11} & S_{12} \\ S_{21} & S_{22} \end{pmatrix} \begin{pmatrix} a_1 \\ a_2 \end{pmatrix} = \begin{pmatrix} -r_{\text{eff}} & t_{\text{eff}} \\ t_{\text{eff}} & -r_{\text{eff}} \end{pmatrix} \begin{pmatrix} a_1 \\ a_2 \end{pmatrix}$$

$$-r_{\text{eff}} = b_1 / a_1$$

effective  
reflection and  
transmission  
coefficients



three different  
scattering matrices

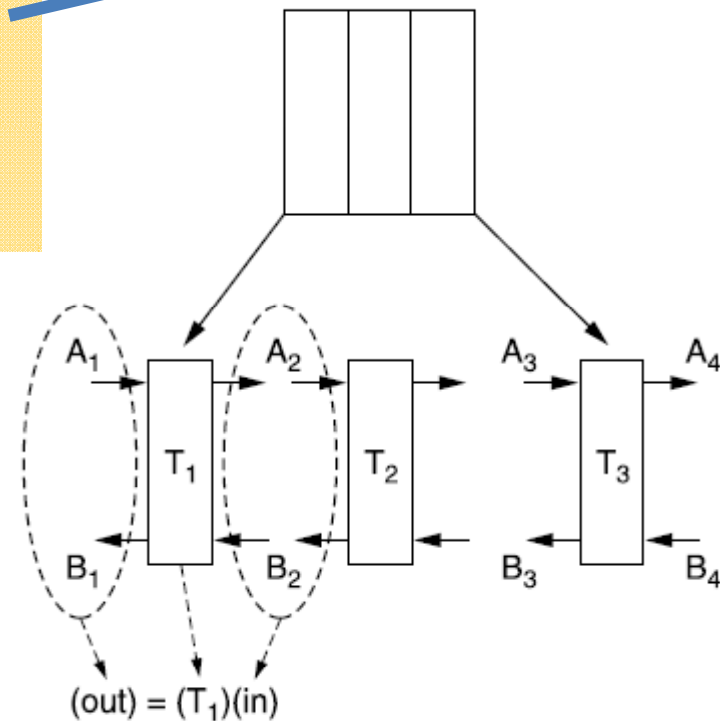
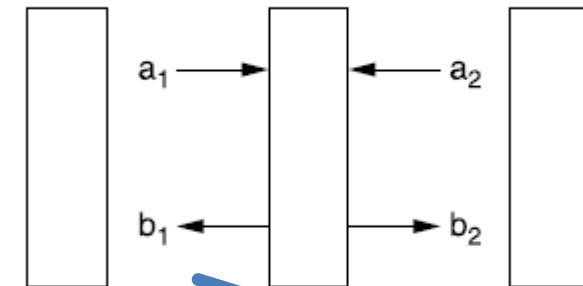


# Scattering Matrix versus Transfer Matrix

$$\begin{pmatrix} A_1 \\ B_1 \end{pmatrix} = \underline{T}_1 \begin{pmatrix} A_2 \\ B_2 \end{pmatrix} = \underline{T}_1 \underline{T}_2 \begin{pmatrix} A_3 \\ B_3 \end{pmatrix}$$

The **scattering matrix** describes the reflected and transmitted amplitudes at an interface

The scattering matrix **does not provide** a convenient formulation for solving **more complicated** problems  
**So this is where the transfer matrix comes to play a great role**



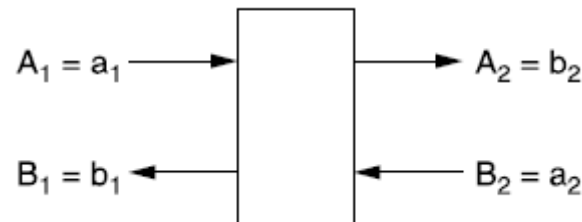


Start to find a relation between the scattering and transfer matrices:

On the other hand:

$$B_1 = S_{11}A_1 + S_{12}B_2$$

$$A_2 = S_{21}A_1 + S_{22}B_2$$



$$\begin{pmatrix} b_1 \\ b_2 \end{pmatrix} = \underline{S} \begin{pmatrix} a_1 \\ a_2 \end{pmatrix}$$



$$b_1 = S_{11}a_1 + S_{12}a_2$$

$$b_2 = S_{21}a_1 + S_{22}a_2$$

$$A_1 = \frac{1}{S_{21}}A_2 - \frac{S_{22}}{S_{21}}B_2$$

$$B_1 = S_{11}A_1 + S_{12}B_2 = \frac{S_{11}}{S_{21}}A_2 - \frac{S_{11}S_{22} - S_{12}S_{21}}{S_{21}}B_2$$

$$T = \frac{1}{S_{21}} \begin{pmatrix} 1 & -S_{22} \\ S_{11} & -\text{Det}(S) \end{pmatrix} \quad \& \quad S = \frac{1}{T_{11}} \begin{pmatrix} T_{21} & \text{Det } T \\ 1 & -T_{12} \end{pmatrix}$$

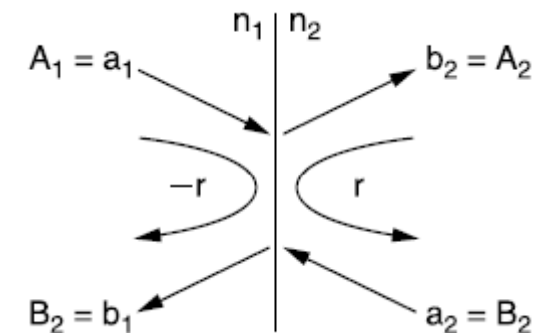


### Example 3.6.1 The Simple Interface

$$A_i(z) = A_{oi} e^{ik_i z}$$

$$B_i(z) = B_{oi} e^{-ik_i z}$$

$$k_i = k_0 n_i (n_i \text{ is real})$$



$$\underline{S} = \begin{pmatrix} -r & \tau_{2 \rightarrow 1} \\ \tau_{1 \rightarrow 2} & r \end{pmatrix} \longrightarrow \begin{pmatrix} A_1(z) \\ B_1(z) \end{pmatrix}_{z=0} = T \begin{pmatrix} A_2(z) \\ B_2(z) \end{pmatrix}_{z=0} \rightarrow \begin{pmatrix} A_{01} \\ B_{01} \end{pmatrix} = T \begin{pmatrix} A_{02} \\ B_{02} \end{pmatrix}$$

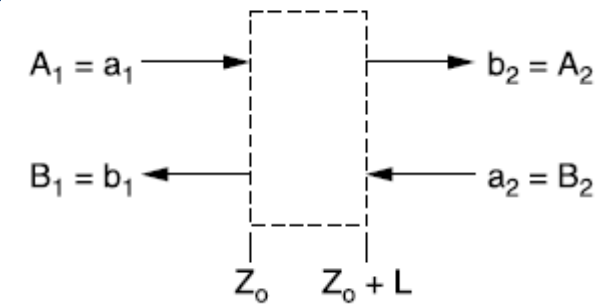
$$-\text{Det}(\underline{S}) = r^2 + \tau^2 = 1 \quad \tau^2 = \tau_{1 \rightarrow 2} \tau_{2 \rightarrow 1}$$

$$T = \frac{1}{\tau_{1 \rightarrow 2}} \begin{pmatrix} 1 & -r \\ -r & rr + \tau^2 \end{pmatrix}$$

A single interface is not very interesting



### Example 3.6.2 The Simple Waveguide



$$b_2 = E_o \exp[ik_n(z_o + L)] = a_1 \exp(ik_n L)$$

$$a_2 = E_o \exp[-ik_n(z_o + L)] = b_1 \exp(-ik_n L)$$

$$b_1 = a_2 \exp(ik_n L)$$

scattering matrix

$$\begin{pmatrix} b_1 \\ b_2 \end{pmatrix} = \begin{pmatrix} S_{11} & S_{12} \\ S_{21} & S_{22} \end{pmatrix} \begin{pmatrix} a_1 \\ a_2 \end{pmatrix} = \begin{pmatrix} 0 & \exp(ik_n L) \\ \exp(ik_n L) & 0 \end{pmatrix} \begin{pmatrix} a_1 \\ a_2 \end{pmatrix}$$

transfer matrix

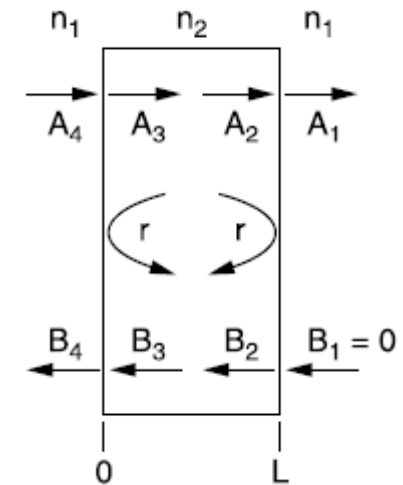
$$\begin{pmatrix} A_1 \\ B_1 \end{pmatrix} = T \begin{pmatrix} A_2 \\ B_2 \end{pmatrix}$$



$$T = \frac{1}{S_{21}} \begin{pmatrix} 1 & -S_{22} \\ S_{11} & -\text{Det}(S) \end{pmatrix} = \frac{1}{\exp(ik_n L)} \begin{pmatrix} 1 & 0 \\ 0 & \exp(2ik_n L) \end{pmatrix} = \begin{pmatrix} \exp(-ik_n L) & 0 \\ 0 & \exp(ik_n L) \end{pmatrix}$$



### Example 3.6.3 The Fabry-Perot Cavity



&

$$\begin{pmatrix} A_2 \\ B_2 \end{pmatrix} = \frac{1}{\tau_{21}} \begin{pmatrix} 1 & r \\ r & 1 \end{pmatrix} \begin{pmatrix} A_1 \\ 0 \end{pmatrix}$$

&

$$\phi = k_n L.$$

$$\begin{pmatrix} A_3 \\ B_3 \end{pmatrix} = \begin{pmatrix} e^{-i\phi} & 0 \\ 0 & e^{i\phi} \end{pmatrix} \begin{pmatrix} A_2 \\ B_2 \end{pmatrix}$$

$$\begin{pmatrix} A_4 \\ B_4 \end{pmatrix} = \frac{1}{\tau_{12}} \begin{pmatrix} 1 & -r \\ -r & 1 \end{pmatrix} \begin{pmatrix} A_3 \\ B_3 \end{pmatrix}$$

$$\begin{pmatrix} A_4 \\ B_4 \end{pmatrix} = \frac{1}{\tau_{12}} \begin{pmatrix} 1 & -r \\ -r & 1 \end{pmatrix} \begin{pmatrix} e^{-i\phi} & 0 \\ 0 & e^{i\phi} \end{pmatrix} \frac{1}{\tau_{21}} \begin{pmatrix} 1 & r \\ r & 1 \end{pmatrix} \begin{pmatrix} A_1 \\ 0 \end{pmatrix}.$$

$$\begin{pmatrix} A_4 \\ B_4 \end{pmatrix} = \frac{1}{\tau^2} \begin{pmatrix} e^{-i\phi} - r^2 e^{+i\phi} & re^{-i\phi} - re^{+i\phi} \\ -re^{-i\phi} + re^{+i\phi} & -r^2 e^{-i\phi} + e^{+i\phi} \end{pmatrix} \begin{pmatrix} A_1 \\ B_1 = 0 \end{pmatrix}$$

# The Fabry-Perot Laser



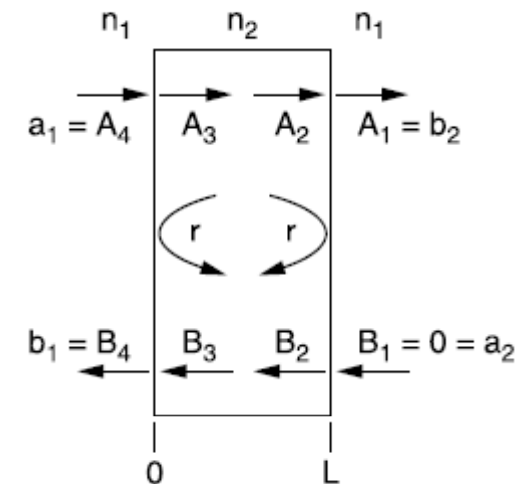
How the presence of gain (or loss) affects the TMM

As we know :

$$T = \begin{pmatrix} T_{11} & T_{12} \\ T_{21} & T_{22} \end{pmatrix} = \frac{1}{\tau^2} \begin{pmatrix} e^{-i\phi} - r^2 e^{i\phi} & r e^{-i\phi} - r e^{i\phi} \\ -r e^{-i\phi} + r e^{i\phi} & -r^2 e^{-i\phi} + e^{i\phi} \end{pmatrix}$$



$$S = \frac{1}{T_{11}} \begin{pmatrix} T_{21} & \text{Det } T \\ 1 & -T_{12} \end{pmatrix} = \frac{\tau^2}{e^{-i\phi} - r^2 e^{i\phi}} \begin{pmatrix} T_{21} & \text{Det } T \\ 1 & -T_{12} \end{pmatrix}$$



$$b_1 = S_{11}a_1 \quad \Rightarrow \quad \frac{\text{output}}{\text{input}} = \frac{b_1}{a_1} = S_{11} = \frac{-r e^{-i\phi} + r e^{i\phi}}{e^{-i\phi} - r^2 e^{i\phi}} = -r \frac{1 - e^{2i\phi}}{1 - r^2 e^{2i\phi}}$$

$$\text{از قبل داریم:} \quad P_o = \frac{g P_{in}}{1 - g/\alpha} \quad \text{بنابراین} \quad P_{ref} = |S_{11}|^2 P_{in}$$

reflected power



$$|S_{11}|^2 = S_{11} S_{11}^* = r^2 \frac{(1 - e^{2i\phi})(1 - e^{2i\phi})^*}{(1 - r^2 e^{2i\phi})(1 - r^2 e^{2i\phi})^*}$$

$$\phi = k_n L \quad \text{Where:} \quad k_n = k_o n - i \frac{g_{\text{net}}}{2}$$

$$g_{\text{net}} = \Gamma g - \alpha_{\text{int}}$$

$$\phi = \phi_r + i\phi_i = k_n L = k_o n L - i \frac{g_{\text{net}}}{2} L$$

The power reflectivity  $R$  is related to the Fresnel reflectivity  $r$  (assumed real)

$$R = r^2$$

We define an effective reflectivity  $\mathcal{R}$  by  $\mathcal{R} = R \exp(-2\phi_i)$

And  $(e^{i\phi})^* = e^{-i\phi^*}$

$$|S_{11}|^2 = R \frac{1 + \exp(-4\phi_i) - 2 \exp(-2\phi_i) \cos(2\phi_r)}{1 + R^2 \exp(-4\phi_i) - 2R \exp(-2\phi_i) \cos(2\phi_r)} = R \frac{\frac{\mathcal{R}^2}{R^2} - 2 \frac{\mathcal{R}}{R} \cos(2\phi_r)}{1 + \mathcal{R}^2 - 2\mathcal{R} \cos(2\phi_r)}$$



$$\cos(2\phi_r) = \cos^2(\phi_r) - \sin^2(\phi_r) = 1 - 2\sin^2(\phi_r)$$

$$P_{\text{ref}} = |S_{11}|^2 P_{\text{in}} = R \frac{\left[1 - \frac{R}{R}\right]^2 + 4 \frac{R}{R} \sin^2 \phi_r}{[1 - R]^2 + 4R \sin^2 \phi_r} P_{\text{in}}$$

### Example 3.7.1 A Fabry-Perot Etalon Without Material Gain or Internal Loss

From the previous:  $\phi = \phi_r + i\phi_i = k_n L = k_o n L - i \frac{g_{\text{net}}}{2} L = k_o n L$

with  $R = R$  for real  $\phi \Rightarrow \phi_i = 0$

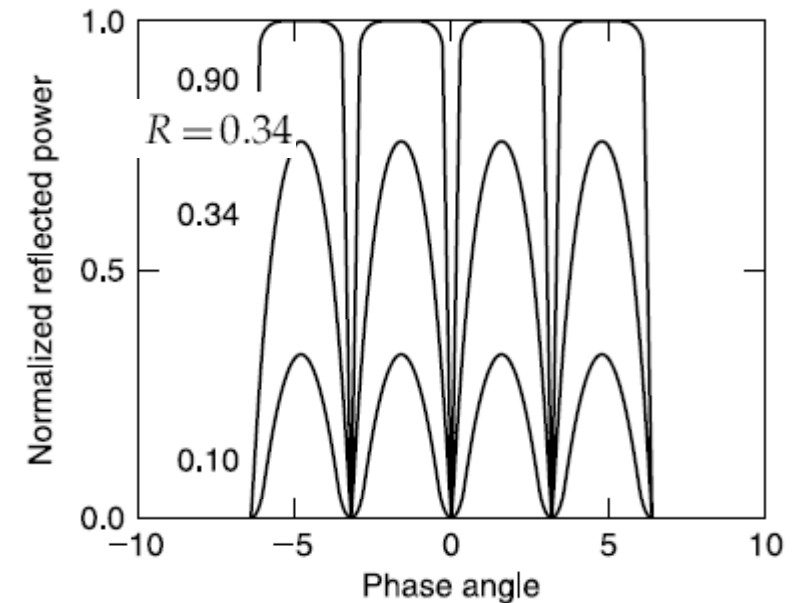
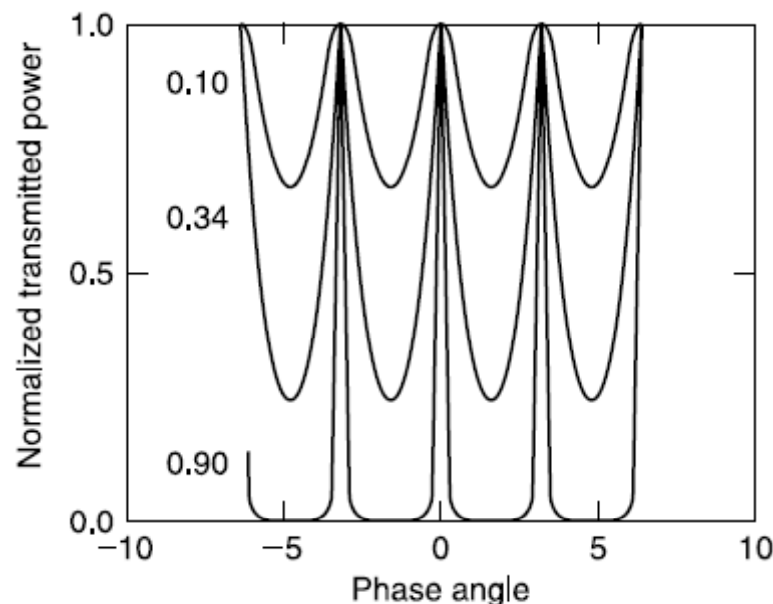
$$P_{\text{ref}} = |S_{11}|^2 P_{\text{in}} = R \frac{4 \sin^2(k_o n L)}{[1 - R]^2 + 4R \sin^2(k_o n L)} P_{\text{in}}$$

$$P_{\text{trans}} = |S_{21}|^2 P_{\text{in}} = [1 - |S_{11}|^2] P_{\text{in}}$$



larger facet reflectance  $R$  gives larger finesse  
(narrower line widths)

greater optical loss must lower the finesse of  
the cavity



phase angle  $k_o nL$ .

$$k_o = 2\pi / \lambda_o \text{ and } \lambda_o = c / \nu = 2\pi c / \omega$$

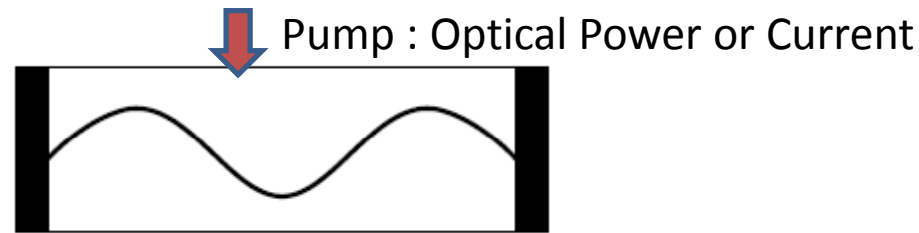
Only a very narrow band of wavelengths  
can propagate through the etalon thereby  
producing a very narrow bandpass filter



Pumping the resonator can initiate lasing.

**Above threshold**, the laser produces considerably **more power than** it receives from the **input beam**.

The effective transmittance and reflectance must become infinite concerning the ring laser and op-amp oscillator.  $\bar{S}_{11}$  or  $|S_{11}|^2$  (etc) equals to zero.



$$b_1 = S_{11}a_1 \rightarrow P_{\text{ref}} = |S_{11}|^2 P_{\text{in}}$$

$$S_{11} = \frac{-r e^{-i\phi} + r e^{i\phi}}{e^{-i\phi} - r^2 e^{i\phi}} = -r \frac{1 - e^{2i\phi}}{1 - R e^{2i\phi}}$$

If:  $R = r^2$   $\rightarrow$   $D = 1 - R e^{i2\phi}$

$$D = 1 - R \exp(g_{\text{net}}L) \exp(i2k_0nL) = 1 - R \exp(g_{\text{net}}L) [\cos(2k_0nL) + i \sin(2k_0nL)]$$

$D=0 \rightarrow$   $\begin{cases} \text{Real part}=0 \\ \text{Imaginary part}=0 \end{cases}$

## Imaginary Part Produces the Wavelength of the Longitudinal Modes

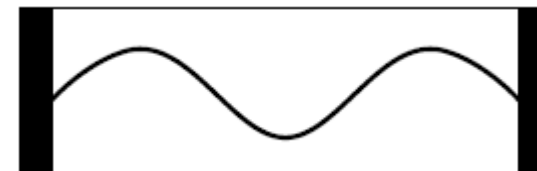
$$0 = \sin(2k_0nL) = 2 \sin(k_0nL) \cos(k_0nL)$$

$$k_0nL = m\pi \quad (m = 1, 2, 3, \dots)$$

$$\lambda_0 = \frac{2nL}{m} \quad \text{or} \quad \lambda_n = \frac{2L}{m}$$

$$\nu_m = \frac{mc}{2nL} \quad (m = 1, 2, \dots)$$

$=0$  we would not necessarily find **the real part** of the denominator to be zero



$m = 3$

The  $m=3$  longitudinal mode



the spacing between adjacent frequencies of the longitudinal modes

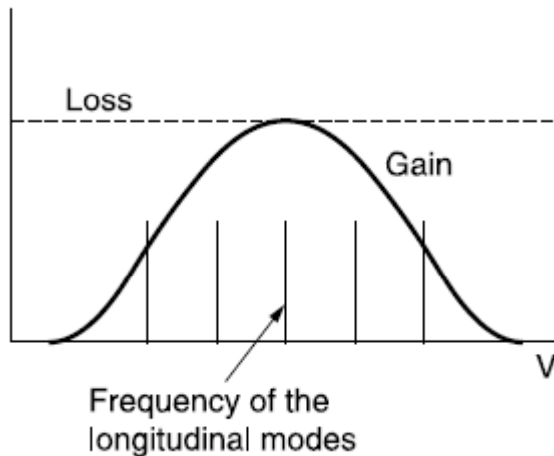
$\nu n = mc/(2L)$ . Let:  $\Delta m = 1$

$$\Delta(\nu n) = \frac{\Delta mc}{2L} \rightarrow n\Delta\nu + \nu\Delta n = \frac{c}{2L} \rightarrow \Delta\nu \left[ n + \nu \frac{\Delta n}{\Delta\nu} \right] = \frac{c}{2L}$$

Define the group index:

$$n_g = n + \nu \frac{\partial n}{\partial \nu}$$

$$\Delta\nu = \frac{c}{2Ln_g} \cong \frac{c}{2Ln}$$

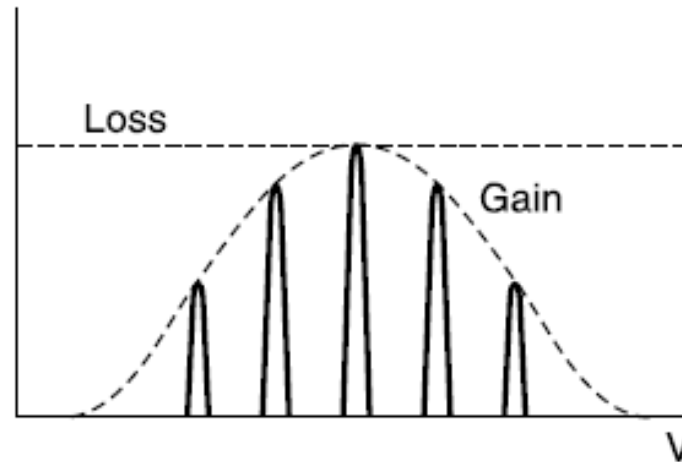


If for simplicity we choose  $n_g = n$

$$\nu\lambda_o = c \rightarrow \lambda_o\Delta\nu + \nu\Delta\lambda_o = 0 \quad \text{or} \quad |\Delta\lambda_o| = \frac{\lambda_o c}{2Ln}$$



The actual line shape pattern appears in:



An extremely important point concerns the role of **homogeneously** vs. **inhomogeneously** broadened lasers.

A **homogeneous broadened laser** will have at most one lasing longitudinal mode.

**For an inhomogeneously broadened laser, any number of longitudinal modes can lase.**

*The reason for these different behaviors has to do with the way the resonator produces the gain*



## Case 2 The Real Part Produces the Threshold Condition

$$\text{Re}(D) = 1 - R \exp(g_{\text{net}}L) \cos(2k_o nL)$$

1

$$k_o nL = m\pi \quad (m = 1, 2, 3 \dots)$$

$$0 = 1 - R \exp(g_{\text{net}}L) \quad \text{Where } g_{\text{net}} = \Gamma g - \alpha_{\text{int}}$$

operating above threshold

$$g_{\text{net}} = \frac{1}{L} \ln\left(\frac{1}{R}\right) \quad \text{or} \quad \Gamma g = \alpha_{\text{int}} + \frac{1}{L} \ln\left(\frac{1}{R}\right)$$

Below threshold this denominator would not be small



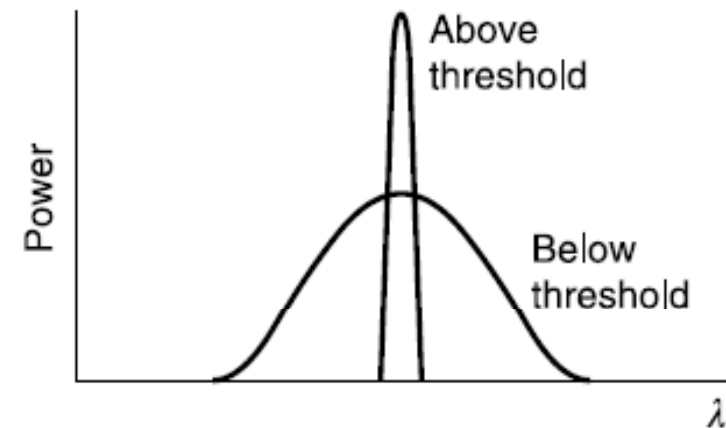
# Line Narrowing

Define the finesse  $F$  in order to discuss the quality of the Fabry-Perot resonator.

$$\mathcal{F} = \frac{4\mathcal{R}_o^2}{(1 - \mathcal{R}_o)^2}$$

For convenience, we define an effective reflectivity  $\mathcal{R}_o$  as

$$\mathcal{R}_o = R \exp(g_{\text{net}}L)$$



**large gain** should produce **large finesse** and **small line widths**

# spectrum of emitted power versus wavelength



$$D = 1 - R \exp(g_{\text{net}}L) \exp(i2k_0nL) = 1 - R \exp(g_{\text{net}}L) \cdot [\cos(2k_0nL) + i \sin(2k_0nL)]$$

$$\lambda_n \sim 2L/m$$



$$2k_0nL = 2k_nL = 4\pi L/\lambda_n \sim 2\pi m$$

mode number

$$\sin(2k_0nL) \sim \sin(2\pi m) = 0 \quad \text{and} \quad \cos(2k_0nL) \sim \cos(2\pi m) = 1$$



$$D \cong 1 - R \exp(g_{\text{net}}L)$$

$$\mathcal{F} = \frac{4\mathcal{R}_o^2}{(1 - \mathcal{R}_o)^2}$$

**This means the finess is increase while the effective reflective is decrease**



Now we show the finesse becomes large and the linewidth decreases as the pumping level increases

steady-state photon laser rate equation

$$0 = \frac{d\gamma}{dt} = v_g \Gamma g \gamma - \gamma v_g (\alpha_{\text{int}} + \alpha_m) + \beta R_{\text{sp}}$$



$$g_{\text{net}} = \Gamma g - \alpha_{\text{int}} = \alpha_m + \frac{\beta v_g R_{\text{sp}}}{\gamma}$$

$$1 - \mathcal{R}_o = 1 - R \exp(g_{\text{net}} L) = 1 - R \exp(\alpha_m L) \exp\left(\frac{\beta v_g R_{\text{sp}}}{\gamma}\right)$$

$$\alpha_m = \frac{1}{L} \text{Ln}\left(\frac{1}{R}\right) \quad R \exp(\alpha_m L) = 1$$



$$1 - \mathcal{R}_o = 1 - R \exp(g_{\text{net}} L) = 1 - \exp\left(\frac{\beta v_g R_{\text{sp}}}{\gamma}\right)$$

$$\mathcal{F} = \frac{4\mathcal{R}^2}{(1 - \mathcal{R})^2} \cong \frac{4}{\left[1 - \exp\left(\frac{\beta v_g R_{\text{sp}}}{\gamma}\right)\right]^2} \rightarrow \infty \quad \text{as gain} \rightarrow \text{loss}$$

as a very important note, **the gain is always infinitesimally smaller than the loss due to the presence of spontaneous emission.** It should be clear at this point that **spontaneous emission prevents the linewidth from actually becoming zero.**



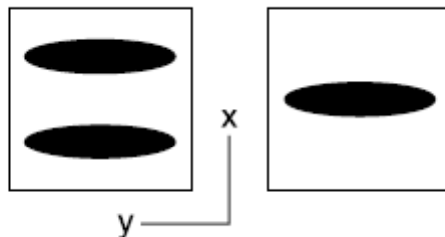
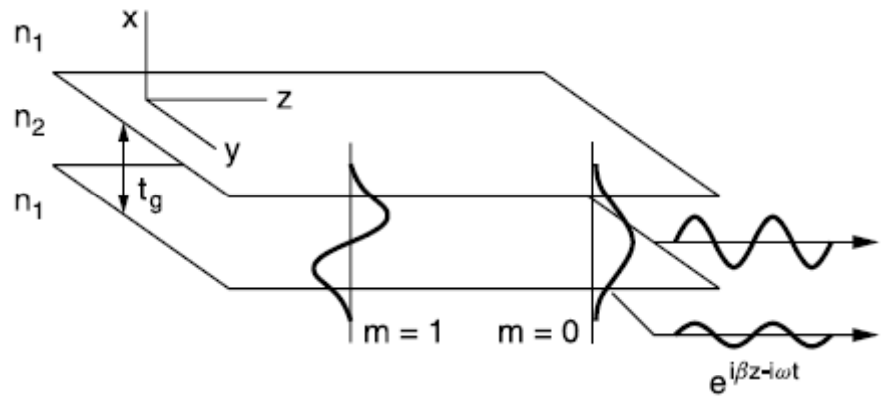
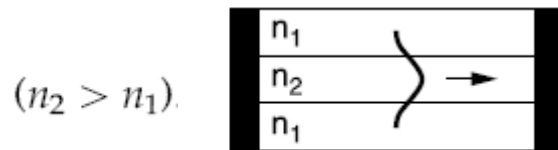
# Introduction to Waveguides

two  
complimentary  
approaches

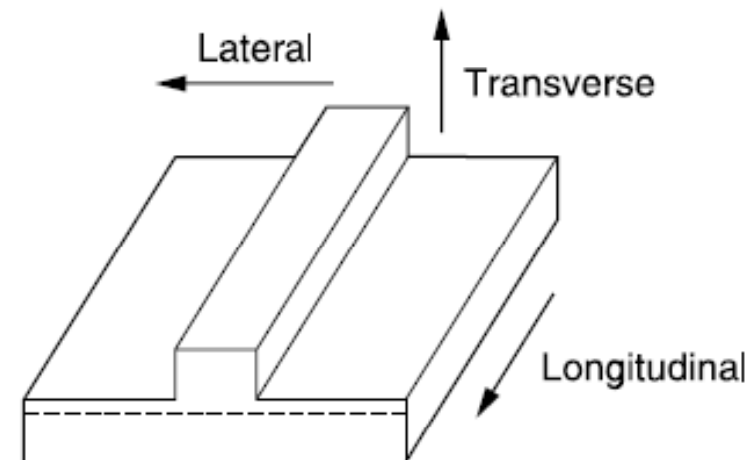
geometric optics  
uses rays

physical optics  
solves Maxwell's equations

EM Waves for Waveguiding

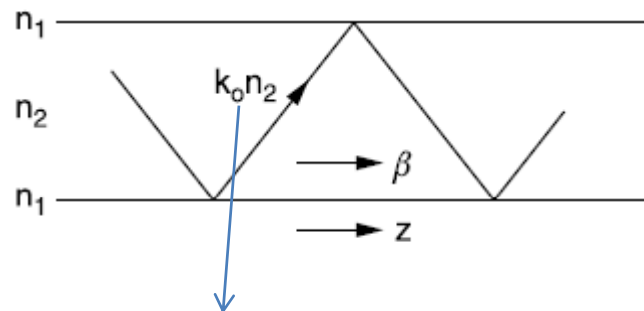


$$e^{i\beta z - i\omega t}$$





## The Triangle Relation

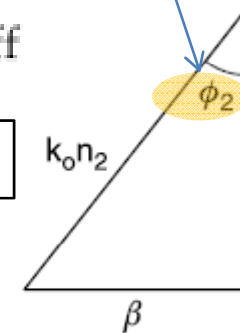


$$\beta = k_0 n_{\text{eff}}$$

effective index

$$\beta \leq k_0 n_2$$

only certain values of are allowed



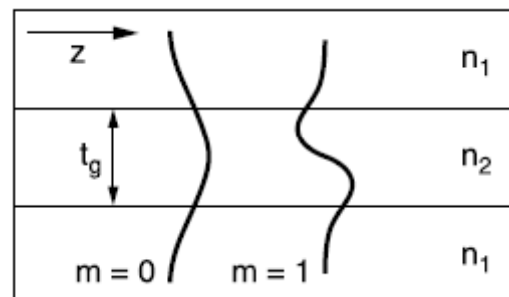
average speed =  $c/n_{\text{eff}}$

The magnitude of the actual wave vector

Only certain values of “h” produce standing waves. That is, there are certain allowed wavelengths for the transverse modes approximately given by

$$\lambda_{\text{trans}} = 2\pi/h = 2t_g/(m+1)$$

As shown, the vector  $\beta$  represents propagation along the length of the waveguide while **the vector “h” represents propagation perpendicular to the length of the waveguide.** The quantity “h” must also be a wave vector.



$$\beta^2 + h^2 = (k_0 n_2)^2$$

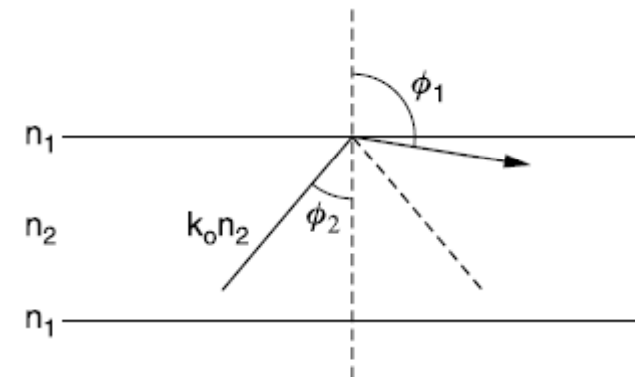


### 3.8.4 The Cut-off Condition from Geometric Optics

A waveguide can only propagate electromagnetic waves with certain values for the effective wave vector

This topic shows how **Snell's law and** the existence of a **critical angle** for **total internal reflection (TIR)** leads to a minimum value for the propagation constant  $k_0 n_1 \leq \beta$

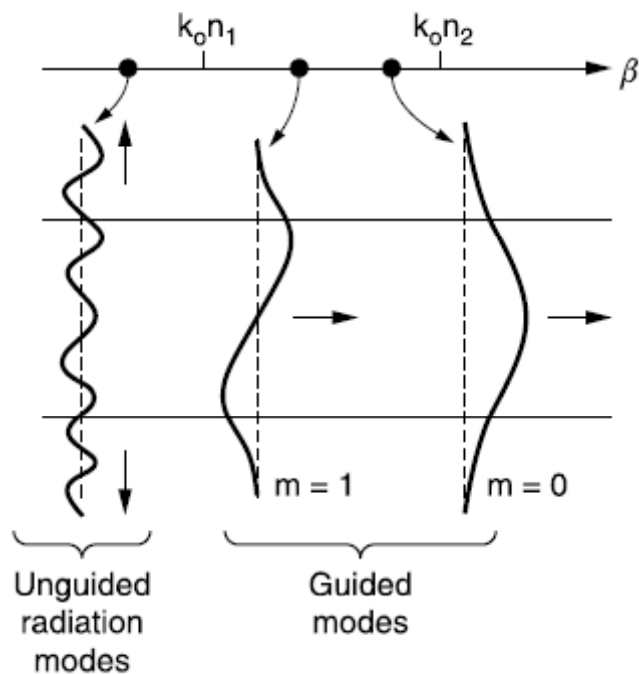
The smallest value of  $\beta$  is the cutoff value



Combining the cutoff condition and the triangle relation, we find that the effective wave vector must have a magnitude within the range given by

$$\beta_{\min} = k_0 n_1 \leq \beta \leq k_0 n_2 = \beta_{\max}$$





group velocity

$$v_{gw} = \frac{d\omega}{d\beta}$$

$$v_{gw} = \frac{d\omega}{d\beta} = \frac{d\omega}{dk_n} \frac{dk_n}{d\beta} = v_g \frac{dk_n}{d\beta}$$

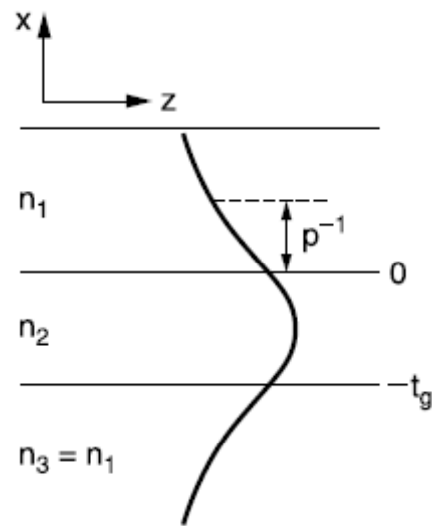
$$n_1 \leq n_{\text{eff}} \leq n_2.$$

$$\beta = k_0 n_{\text{eff}}$$



# Physical Optics Approach to Waveguiding

## The Wave Equations

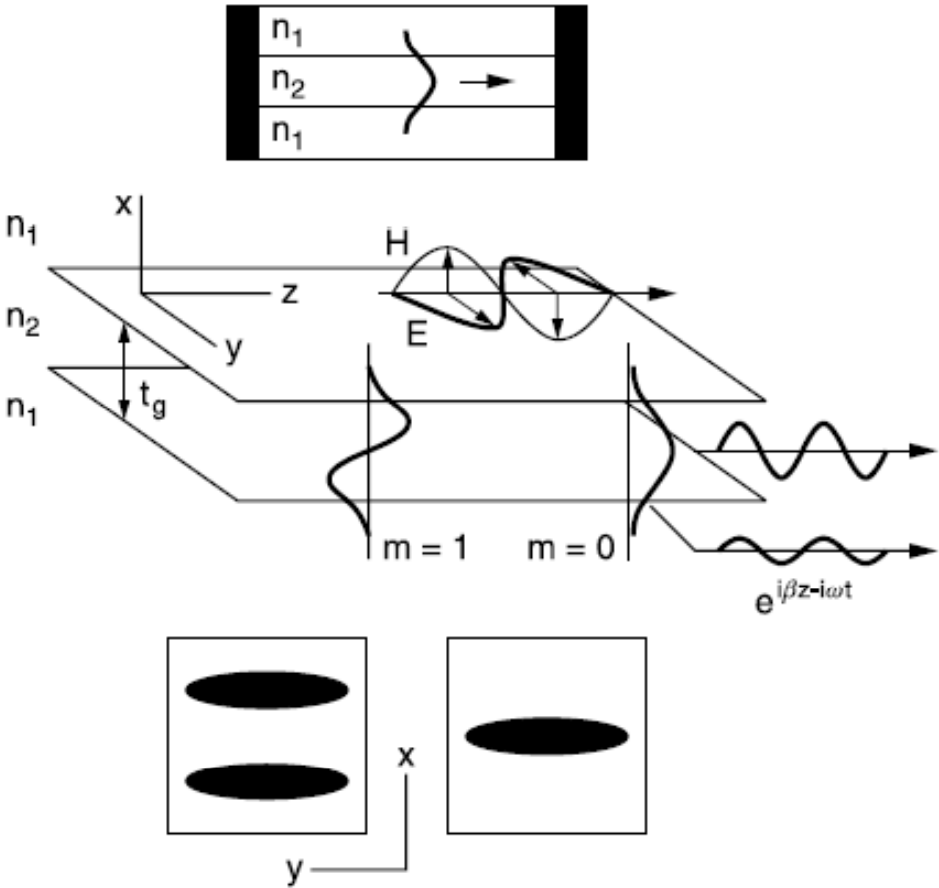


A slab waveguide with the penetration depth  $1/p$ .

$$\nabla^2 \vec{\mathcal{E}} - \frac{n_j^2}{c^2} \frac{\partial^2 \vec{\mathcal{E}}}{\partial t^2} = 0$$

# The General Solutions

$$\vec{E} = \tilde{y} E_y(x) \exp(i\beta z - i\omega t)$$





$$\frac{\partial^2 E_y}{\partial x^2} + (k_o^2 n_i^2 - \beta^2) E_y = 0$$

$$k_o n_j = \frac{n_j \omega}{c}$$



$$E_y(x) \sim \exp(\pm i x \sqrt{k_o^2 n_j^2 - \beta^2})$$



Sinusoidal  $k_o^2 n_j^2 > \beta^2$

Exponential  $k_o^2 n_j^2 < \beta^2$

Region 1  $E_y = A \exp(-px)$

Region 2  $E_y = B \cos(hx) + C \sin(hx)$

Region 3  $E_y = D \exp[p(x + t_g)]$

$$p = \sqrt{\beta^2 - k_o^2 n_1^2} \quad h = \sqrt{k_o^2 n_2^2 - \beta^2}$$